KER BOTIS LLP

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RIYADH WASHINGTON

FROM DATE

David Loretto July 24, 2004

PAGES 12 (incl. cover) VOICE NO. 212 408 2584 FAX NO.

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RETURN TO

TO

Office of Initial Patent Examination, USPTO

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MESSAGE

RECEIVED CENTRAL FAX CENTER

Applicant:

Tomonari Ohtsuki et al

Group Art Unit:

2813

JUL 2 3 2004

Serial No.:

09/998,565

Examiner:

TBA

Filed:

November 16, 2001

For:

ELECTRICAL CONNECTOR

Dear Sir.

We have been informed by the Office of Petitions that the PTO computer system contains no record of the Petition Under 37 C.F.R. § 1.137(b) to Withdraw Notice of Abandonment and Requesting Refund of the Petition Fee Required Under § 1.7(m), which was submitted for this application on December 8, 2003. Following the suggestion of Derek L. Woods, Esq., of the Office of Petitions, please find attached copies of the receipt postcard, date-stamped December 10, 2003, by the OIPE (one page), and the petition and supporting documents, mailed on December 8, 2003 (ten pages). A duplicate copy of this facsimile is also being submitted to the Office of Petitions.

Thank you very much for assistance with this matter.

Very truly yours.

David Loretto Reg. No. 44,374

Notice of Confidentiality

The information contained in and transmitted with this facetrille is: 1. Subject to the Attorney-client Privilege; 2. Attorney Work Product; or 3. Confidential. It is intended only for the individual or entity designated above. You are hereby notified that any dissemination, distribution, copying, or use of or reliance upon his information contained in and transmitted with this facsimile by or to anyone other than the recipient designated above by the sender is unauthorized and strictly prohibited. If you have received this facsimile in error, please notify Baker Botts L.L.P. by telephone at 212.408.2500 immediately. Any facsimile erroneously transmitted to you should be immediately returned to the sender by U.S. Mail or, if authorization is granted by the sender, destroyed.

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ВILLING NO. 070793.0140

ATTORNEY/EMPLOYEE NO. 04349

CRITICAL DEADLINE, SEND BY PAGE 1/12 * RCVD AT 7/23/2004 6:55:21 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/0 * DNIS:8729306 * CSID:212 408 2501 * DURATION (mm-ss):04-06

BAHER BOTTS L.L.P.
03 DEC 16 PH 3: 40

In Re: Ohtsuki et al (9/998,565

(Applicant) December 8, 2003 A34811

(O70793.0140)

(File No.)

(Title of Paper) (Date)

The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

Petition Under 37 C.F.R. § 1:137(B) To Withdraw Notice Of Abandonment And Requesting Refund Of The Petition Fee Required Under 1.7(M)

Oppy of Notice of Abandonment Under 37 CFR 1.53 (f) or (g)

Oppy of See Transmittal

Capy of Combined Declaration and Power of Attorney

Copy of Postcard submitted February 4, 2001

A34811 070793.0140 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIAL

Applicant

OHTSUKI et al

Examiner:

To Be Assigned

Serial No.

09/998,565

Art Unit:

2833

RECEIVED
CENTRAL FAX CENTER

Filed

November 16, 2001

JUL 2 3 2004

For

ELECTRICAL CONNECTOR

PETITION UNDER 37 C.F.R. § 1.137(b)
TO WITHDRAW NOTICE OF ABANDONMENT AND
REQUESTING REFUND OF THE PETITION FEE REQUIRED UNDER § 1.7(m)

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450.

December 8, 2003

Date of Deposit

Paul A. Ragusa

Attorney Name

Signature

32 527

PTO Registration No.

December 8, 2003

Date of Signature

Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant hereby submits this Petition to Withdraw Notice of Abandonment for the above-captioned patent application due to Applicant's purported "failure to timely or properly

::ODMA\PCDOCS\NY02\467458\1

A34811 070793.0140

reply" to a Notice to File Missing Parts mailed on December 18, 2001. Applicant avers that the failure to respond was unintentional in that Applicant, in fact, filed a proper and timely response that was received and acknowledged by the Patent Office, but was apparently later misplaced through an error committed within that Office. The facts and circumstances resulting in this Petition, the reasons that support withdrawal of the Notice Of Abandonment and refund of the fee for the present Petition, are listed below.

A Notice to File Missing Parts in the above-captioned application was mailed on December 18, 2001, requesting Applicant to file, within a two month period, an oath or declaration and a late filing fee in the amount of \$130.00. Applicant's response, which included a Combined Declaration and Power of Attorney and the required fee, was timely filed on February 4, 2002. Copies of the Declaration and Fee Transmittal are enclosed.

On February 28, 2002, Applicant received a postcard from the Patent Office confirming the timely filing of Applicant's response to the Notice To File Missing Parts. A copy of this postcard, date-stamped February 19, 2002, is enclosed.

However, on November 19, 2003, a Notice Of Abandonment was mailed by the Patent Office asserting that Applicant had failed to "timely or properly reply" to the December 18, 2001, Notice to File Missing Parts. A copy of the Notice is enclosed.

On December 1, 2003, Applicant contacted the Initial Examination Division telephonically through counsel, and was advised to file this Petition to Withdraw Notice of Abandonment under 37 C.F.R. § 1.137(b) together with the fee required under § 1.17(m), in the amount of \$1330.00. Applicant was further advised that the fee for this Petition would be

A34811 070793.0140 PATENT

refunded upon a finding that the Notice of Abandonment had been mailed as a result of an apparent error committed within the Patent Office.

Accordingly, Applicant respectfully contends that the Notice Of Abandonment should not have been issued in view of Applicant's timely filing of a Combined Declaration and Power of Attorney, and the required \$130.00 late fee, on February 4, 2002, as confirmed by Applicant's receipt of a date-stamped postcard from the Patent Office on February 19, 2002. Applicant further submits, in the event that the Commissioner determines that Applicant's papers were, in fact, properly filed and apparently misrouted or lost within the Patent Office, that the fee for filing the present Petition, in the amount of \$1,330.00, should be refunded in accordance with the assurances given by the Initial Examination Division and in conformance with the rule governing petitions for withdrawal of an Examiner's holding of abandonment.

The Commissioner for Patents is hereby authorized to charge and/or refund payment of the following fees to Deposit Account No. 02-4377: (1) the fee for this Petition, in the amount of \$1330.00, as required under 37 C.F.R. § 1.17(m); (2) if necessary, the \$130.00 late fee originally filed with Applicant's February 2002 response to the December 2001 Notice to File Missing Parts; and, (3) any other fees associated with this communication.

Based on the foregoing, Applicant hereby formally petitions and requests that the Commissioner reinstate the above-captioned application and withdraw the Notice Of Abandonment, on the ground that the Applicant filed a timely response to the Notice to File

Applicant notes that, had the Notice of Abandonment been issued by an Examiner, a petition filed under 37 C.F.R. § 1.181(a), requesting withdrawal of an Examiner's holding of abandonment, would not require a fee. See MPEP § 711.03(c).I.

A34811 070793.0140 **PATENT**

Missing Parts mailed by the Patent Office on December 18, 2001. Applicant further requests and petitions that the Commissioner refund the \$1330.00 fee associated with the present Petition, on the ground that the Notice of Abandonment was mailed as the result of a routing or other error committed within the Patent Office.

New York, New York December 8, 2003

Respectfully submitted,

Padl A. Ragusa PTO Reg. No. 38,587

Attorney for Applicant

BAKER BOTTS, L.L.P. 30 Rockefeller Plaza New York, New York 10112 Telephone: (212) 408-2500

Facsimile:

(212) 408-2501

Page 1 of 1



<u>United States Patent and Trademark Office</u>

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office nd Rissen Patent and Trademark Office COMMISSIONER FOR TATENTS EO. Dec 1450 Attentite Value 22313-1450

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO:/ITILE 09/998,565 11/16/2001 Tomonari Ohtsuki

A34811-070793-0140

CONFIRMATION NO. 7829 ABANDONMENT/TERMINATION LETTER

21003 BAKER & BOTTS 30 ROCKEFELLER PLAZA **NEW YORK, NY 10112**

OC000000011308544*

Date Mailed: 11/19/2003

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 12/18/2001.

No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-Identified Notice; (3) the petition fee set forth in 37 CFR 1.17(!); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY APPLICANT COPY

Morrie Mailed 2/14/02 Kiled 2/191 PAGE 7/12 * RCVD AT 7/23/2004 6:55:21 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/0 * DNIS:8729306 * CSID:212 408 2501 * DURATION (mm-ss):04-06 PAKER BOTTS W

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

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Complete If Known		
Application Number	09/998,856	
Filing Date	November 16, 2001	
First Named Inventor	Tomonari Ohtsuki	
Examiner Name		
Group Art Unit	2833	
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METHOD OF PAYMENT			EE AM OUR ATTENDED	
The Commissioner is hereby authorized to chame	3. ADDITIO	ALAL E	EE CALCULATION (continued)	
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Account Baker Botts LLP	130	66	Surchargo - late filing fee or path	130
Charge Any Additional Fee Required Under 87 CPR 1.18 and 1.17	60	25	Surcharge - lete provisional filing fee or cover sheet	
Applicant claims small entity status.	180	130	Non-English specification	
2. Payment Enclosed:	2,520	2,520	For filling a request for ex parts reexemination	
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FEE CALCULATION	1,840*	1,840	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	110	55	Extension for reply within first month	
Large Entity Small Entity Fee Fee Pee Description	400	200	Extension for reply within second month	
Fee Fee Description (5) (\$) Fee Paid	920	460	Extension for reply within third month	
740 370 Utility filing fee	1,440	720	Extension for raply within fourth month	
830 165 Design filling fee	1,980	980	Extension for reply within fifth month	
510 255 Plant filing fee	320	180	Notice of Appeal	
740 370 Refease filling fee	320	160	Filing a brief in support of an appeal	
160 80 Provisional filing fee	280	140	Request for oral hearing	
SUPTOTAL (4) (th. A	1,510		Petition to Institute a public use proceeding	
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and over original patent	900		Request for expedited examination	
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RUSMITTED BY			 Complete A	(applicable)
Harms (PrintType)	Paul A. Ragusa	· Registration No. (AllomentAgent)		212-408-2588
Signature	//			February 4, 2002

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BAKER & BOTTS, L.I.P.
FILE NO.: A 146 >

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COMBINED DECLARATION AND POWER OF ATTORNEY

(Original, Design, National Stage of PCT or CIP Application)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ELECTRICAL CONNECTOR

the specification of which: (complete (a), (b) or (c) for type of application)

Regular or Design Application

- (a) [] is attached hereto.
- (b) [k] was filed on / as Application Serial No. / and was amended on (if applicable).

 November 16, 2001 09/998.565

PCT Filed Application Entering National Stage

(c) [] was described and claimed in International Application No. filed on and as amended on (if applicable).

Acknowledgment of Review of Papers and Duty of Candor

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56.

[] In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.97.

Priority Claim

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed

(complete (d) or (e))

- (d) [] no such applications have been filed.
- (e) [x] such applications have been filed as follows:

COUNTRY	APPLICATION NO.	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
Japan	2000-355,367	22,November,2001	-	10 ABS 140 []
				[] YBS NO []
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LL POREIGN A	PPLICATION(S), IF ANY, FILED MORE TH	AN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR	TO SAID APPLICATION	
				[] YES NO []
				[] YES NO []
				[] YES NO []

BAKER & BOTTS, L.L.P.
FILE NO.:

Claim for Benefit of Prior U.S. Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number		Piling Date
	j	

Continuation-In-Part

(complete this part only if this is a continuation-in-part application)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information as defined in Title 37, Gode of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Apparation Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
	Power of Attorney	() (Personal permitty modules:

As a named inventor, I hereby appoint Dana M. Raymond, Reg. No. 18,540; Frederick C. Carver, Reg. No. 17,021; Francis J. Hone, Reg. No. 18,662; Joseph D. Garon, Reg. No. 20,420; Arthur S. Tenser, Reg. No. 18,839; Ronald B. Hildreth, Reg. No. 19,498; Thomas R. Nesbitt, Jr., Reg. No. 22,075; Robert Neuner, Reg. No. 24,316; Richard G. Berkley, Reg. No. 25,465; Richard S. Clark, Reg. No. 26,154; Thomas D. MacBlain, Reg. No. 24,583; Bradley B. Geist, Reg. No. 27,551; James J. Maune, Reg. No. 26,946; John D. Murnane, Reg. No. 29,836, Henry Tang, Reg. No. 29,705, Robert C. Scheinfeld, Reg. No. 31,300, John A. Fogarty, Jr., Reg. No. 22,348, Louis S. Sorell, Reg. No. 32,439 and Rochelle K. Seide Reg. No. 32,300 of the firm of BAKER & BOTTS, L.L.P., with offices at 30 Rockefoller Plaza, New York, New York 10112, as attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

SEND CORRESPONDENCE TO: BAKER & BOTTS, L.L.P. 30 ROCKEFELLER PLAZA, NEW YORK, N.Y. 10112	DIRECT TELEPHONE CALLS TO: BAKER & BOTTS, L.L.P. (212) 705-5000
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR	LAST NAME CHTSUKI	FIRST NAME Tomonari	MIDDLE NAME	
RESIDENCE & CITIZENSHIP	стү Shinagawa-Кu	STATE of FOREIGN COUNTRY Japan	COUNTRY OF CITIZEN Japan	SHIP
POST OFFICE ADDRESS	POST OFFICE ADDRESS C/O DDK LID., 2-11-20, Nishi- gotanda, Shinagawa-Ku,	CITY Tokyo	STATE of COUNTRY Japan	ZIP CODE
DATE December 27,2001	SIGNATURE OF INVENTOR	neri		

Baker & Botts, L.L.P.

PULL NAME OF SECOND FOINT INVENTOR, IF ANY RESIDENCE & CITIZENSHIP POST OFFICE ADDRESS	LASTNAME				
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Number of pages added

[] Signature for inventor who refuses to sign, or cannot be reached, by person authorized under 37 CFR 1.47. Number of pages added _

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In Re: Change 1998, 565

In Re: Respire Missing Parts. February 4, 200 (Serial No.)

(This of Paper) (Date) (File No.)

The stamp of the Palent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

Enclosed: 32 page transmittal, combined declaration/power of attorney, Confirmation No. 7829, \$130 check

Box MISSING PARTS

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02 FEB 28 AMII: 55

2 22 / BAKER BOTTS L.L.P.

PAGE 12/12 * RCVD AT 7/23/2004 6:55:21 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/0 * DNIS:8729306 * CSID:212 408 2501 * DURATION (mm-ss):04-06

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